# A PROBLEM OF RAMANUJAN, ERDŐS AND KÁTAI ON THE ITERATED DIVISOR FUNCTION 

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Abstract. We determine asymptotically the maximal order of $\log d(d(n))$, where $d(n)$ is the number of positive divisors of $n$. This solves a problem first put forth by Ramanujan in 1915.

## 1 Introduction

Let $d(n)$ denote the number of positive divisors of an integer $n$. The extreme large values of $d(n)$ were studied by Wigert [10], (see also [4, Theorem 432]). Wigert proved that

$$
m_{1}(x):=\max _{n \leqslant x} \log d(n) \sim(\log 2) \frac{\log x}{\log _{2} x}
$$

Here $\log _{k} x$ denotes the $k$-th iterate of the logarithm. The lower bound comes from considering integers of the form $N_{k}=p_{1} \cdots p_{k}$, where $p_{j}$ denotes the $j$ th smallest prime. Here $d\left(N_{k}\right)=2^{k}$, while $\log N_{k} \sim k \log k$ by the prime number theorem. In his seminal 1915 paper on highly composite numbers [7], Ramanujan gave a more precise asymptotic for $m_{1}(x)$. At the very end of his paper, Ramanujan posed the problem of finding the extreme large values of $d(d(n))$. By considering integers of the form

$$
\begin{equation*}
2^{1} \cdot 3^{2} \cdot 5^{4} \cdots p_{k}^{p_{k}-1} \tag{1.1}
\end{equation*}
$$

Ramanujan showed that

$$
m_{2}(x):=\max _{n \leqslant x} \log d(d(n)) \geqslant(\sqrt{2} \log 4+o(1)) \frac{\sqrt{\log x}}{\log _{2} x}
$$

The problem of finding the order of $m_{2}(x)$ has been mentioned in Erdős [1], Ivić [5], and has been mentioned by Ivić in problem sessions in Ottawa [6] and Oberwolfach.

Erdős and Kátai [3] showed $m_{2}(x)=(\log x)^{1 / 2}\left(\log _{2} x\right)^{O(1)}$ (see (4.1) on p. 270 of [3]). Twenty years later Erdős and Ivić [2] improved the upper bound to

$$
m_{2}(x) \ll\left(\frac{\log x \log _{2} x}{\log _{3} x}\right)^{1 / 2}
$$

Smati $[8,9]$ gave a further improvement

$$
m_{2}(x) \ll \sqrt{\log x}
$$

the best estimate known to date. Constructions similar to Ramanujan's seem rather natural, and one might expect that $m_{2}(x) \ll \frac{\sqrt{\log x}}{\log _{2} x}$. This is indeed the case, as we now show. More precisely, we prove an asymptotic formula for $m_{2}(x)$ with an error term.
Theorem 1. We have

$$
m_{2}(x)=\frac{\sqrt{\log x}}{\log _{2} x}\left(c+O\left(\frac{\log _{3} x}{\log _{2} x}\right)\right)
$$

[^0]where
$$
c=\left(8 \sum_{j=1}^{\infty} \log ^{2}(1+1 / j)\right)^{1 / 2}=2.7959802335 \ldots
$$

In particular, Theorem 1 implies that

$$
\limsup _{n \rightarrow \infty} \frac{\log d(d(n)) \log _{2} n}{\sqrt{\log n}}=c
$$

Ramanujan's examples (1.1) are seen to be suboptimal with respect to the constant $c$, since $\sqrt{2} \log 4=$ 1.9605...

There is a closely related problem, to estimate the extreme values of $\omega(d(n))$, where $\omega(n)$ is the number of distinct prime factors of $n$. In fact, both Erdős and Ivić [2] and Smati [9] obtained upper bounds for $d(d(n))$ by first bounding $\omega(d(n))$ and then using the elementary inequality $\log d(m) \ll\left(\log _{2} m\right) \omega(m)$ (see, e.g., Lemme 3.3 of [8] or Lemma 3.2 below). For this problem, Ramanujan's examples (1.1) are essentially optimal, providing the true order and constant in the asymptotic for $w(x)=\max _{n \leqslant x} \omega(d(n))$.
Theorem 2. We have

$$
w(x)=\frac{\sqrt{\log x}}{\log _{2} x}\left(\sqrt{8}+O\left(\frac{\log _{3} x}{\log _{2} x}\right)\right)
$$

Previously, Erdős and Ivić [2] had shown

$$
w(x) \ll\left(\frac{\log x \log _{3} x}{\log _{2} x}\right)^{1 / 2}
$$

and later Smati [8] found the true order $w(x) \ll \frac{\sqrt{\log x}}{\log _{2} x}$.

## 2 The lower bound in Theorem 1

Notation and basic prime number estimates. Throughout, we make use of the asymptotic

$$
\begin{equation*}
p_{j}=j\left(\log j+\log _{2} j+O(1)\right), \tag{2.1}
\end{equation*}
$$

which is a simple consequence of the prime number theorem with error term $\pi(x)=\frac{x}{\log _{x}}+O\left(\frac{x}{\log ^{2} x}\right)$. Here $\pi(x)$ is the number of primes which are $\leqslant x$. We also denote by $\Omega(n)$ the number of prime power divisors of $n$.

Proof of the lower bound in Theorem 1. Let $x$ be large and define $\varepsilon=10 \frac{\log _{3} x}{\log _{2} x}$. Let

$$
\begin{equation*}
t=\left\lfloor\left(\frac{8 \log 2}{c}-\varepsilon\right) \frac{\sqrt{\log x}}{\log _{2} x}\right\rfloor, \quad a_{i}=\left\lfloor\frac{1}{2^{i / t}-1}\right\rfloor \quad(1 \leqslant i \leqslant t), \tag{2.2}
\end{equation*}
$$

and let

$$
n=\left(p_{1} \cdots p_{a_{1}}\right)^{p_{1}-1}\left(p_{a_{1}+1} \cdots p_{a_{1}+a_{2}}\right)^{p_{2}-1} \cdots\left(p_{a_{1}+\cdots+a_{t-1}+1} \cdots p_{a_{1}+\cdots+a_{t}}\right)^{p_{t}-1}
$$

The Taylor expansion of $\exp \left(\frac{\log 2}{t}\right)$ shows that $a_{1}=\left\lfloor\left(2^{1 / t}-1\right)^{-1}\right\rfloor=t / \log 2+O(1)$. By (2.2), for every positive integer $j$, there are $y_{j}:=\left\lfloor\frac{\log (1+1 / j)}{\log 2} t\right\rfloor$ indices $i$ with $a_{i} \geqslant j$. Also, $a_{1}+\cdots+a_{t} \ll t \log t$. Using (2.1), we have $\log p_{a_{1}+\cdots+a_{i}} \leqslant \log t+2 \log _{2} t+O(1)$, hence

$$
\log n \leqslant \sum_{i=1}^{t} a_{i}\left(p_{i}-1\right) \log p_{a_{1}+\cdots+a_{i}} \leqslant\left(\log ^{2} t+3\left(\log _{2} t\right) \log t+O(\log t)\right) \sum_{i=1}^{t} i a_{i}
$$

From $y_{j}=O(t / j)$ and the definition of $c$ we obtain

$$
\begin{align*}
\sum_{i=1}^{t} i a_{i}=\sum_{j \leqslant a_{1}} \frac{y_{j}\left(y_{j}+1\right)}{2} & =\frac{1}{2} \sum_{j=1}^{\infty}\left(\frac{\log (1+1 / j)}{\log 2}\right)^{2} t^{2}+O(t \log t)  \tag{2.3}\\
& =\frac{c^{2}}{16(\log 2)^{2}} t^{2}+O(t \log t)
\end{align*}
$$

From the definition of $t, \log t=\frac{1}{2} \log _{2} x-\log _{3} x+O(1)$ and $\log _{2} t=\log _{3} x+O(1)$. Thus,

$$
\log n \leqslant\left(1+\frac{2 \log _{3} x+O(1)}{\log _{2} x}\right)\left(1-\frac{c \varepsilon}{8 \log 2}\right)^{2} \log x
$$

Hence, if $x$ is large enough, then $n \leqslant x$. From the definition of $n$ above, we have $d(n)=p_{1}^{a_{1}} \cdots p_{t}^{a_{t}}$. Therefore,

$$
\begin{align*}
\log m_{2}(x) \geqslant \log d(d(n)) & =\sum_{i=1}^{t} \log \left(a_{i}+1\right)=\sum_{j \geqslant 1}\left(y_{j}-y_{j+1}\right) \log (j+1)=\sum_{j \geqslant 1} y_{j} \log (1+1 / j) \\
& =\sum_{j \leqslant a_{1}}\left(\frac{\log ^{2}(1+1 / j)}{\log 2} t+O(1 / j)\right)  \tag{2.4}\\
& =\frac{c^{2}}{8 \log 2} t+O(\log t) \\
& =\frac{\sqrt{\log x}}{\log _{2} x}\left(c+O\left(\frac{\log _{3} x}{\log _{2} x}\right)\right)
\end{align*}
$$

## 3 Proof of the upper bound in Theorem 1

Lemma 3.1. Let $m_{N}=\min \{m: d(m)=N\}$ and write $m_{N}=p_{1}^{\alpha_{1}} \cdots p_{r}^{\alpha_{r}}$. We have
(i) $\alpha_{1} \geqslant \cdots \geqslant \alpha_{r}$,
(ii) $N^{\prime} \mid N$ implies $m_{N^{\prime}} \leqslant m_{N}$,
(iii) for each integer $k \geqslant 1$, if $p_{j}>p_{r+1}^{1 / 2^{k}}$, then $\Omega\left(\alpha_{j}+1\right) \leqslant k$.

Remark 1. Using (2.1) and taking $k=1$, we see from (iii) that if $r$ is large, then $\alpha_{j}+1$ is prime for $\sqrt{r}<j \leqslant r$. Also, by (iii), $\Omega\left(\alpha_{j}+1\right) \ll \log _{2} r$ for all $j$.

Proof. (i) This is trivial and was observed by Ramanujan [7, (32)].
(ii) If $N^{\prime} \mid N$, we can find $\alpha_{j}^{\prime} \leqslant \alpha_{j}$ for each $j$ such that $N^{\prime}=\left(\alpha_{1}^{\prime}+1\right) \cdots\left(\alpha_{r}^{\prime}+1\right)$, and clearly $m_{N^{\prime}} \leqslant$ $p_{1}^{\alpha_{1}^{\prime}} \cdots p_{r}^{\alpha_{r}^{\prime}} \leqslant m_{N}$.
(iii) If $p_{j}>p_{r+1}^{1 / 2^{k}}$ and $\Omega\left(\alpha_{j}+1\right)>k$, then there are integers $a, b$ with $\alpha_{j}+1=a b, a \geqslant 2$ and $b \geqslant 2^{k}$. Letting

$$
m^{*}=p_{j}^{b-1} p_{r+1}^{a-1} \prod_{i \neq j} p_{i}^{\alpha_{i}}
$$

we see that $d\left(m^{*}\right)=d\left(m_{N}\right)=N$, but

$$
\frac{m^{*}}{m_{N}}=p_{j}^{b-1-\alpha_{j}} p_{r+1}^{a-1}=\left(p_{j}^{-b} p_{r+1}\right)^{a-1}<1,
$$

a contradiction.

Lemma 3.2. For every $\varepsilon>0$, and for $\omega(n)=s \geqslant 2$ we have

$$
d(n) \ll_{\varepsilon}\left(\frac{(2+\varepsilon) \log n}{s \log s}\right)^{s}
$$

Proof. Write the prime factorization of $n$ as $n=q_{1}^{a_{1}} \cdots q_{s}^{a_{s}}$, where $q_{1}<\cdots<q_{s}$. Using the arithmetic mean - geometric mean inequality and that $q_{i} \geqslant p_{i}$, we have

$$
d(n) \leqslant \prod_{i=1}^{s}\left(2 a_{i}\right) \leqslant 2^{s} \prod_{i=1}^{s}\left(a_{i} \log q_{i}\right) \prod_{i=1}^{s}\left(\log p_{i}\right)^{-1} \leqslant\left(\frac{2 \log n}{s}\right)^{s} \frac{(\log s)^{\pi(s)-s}}{\log 2}
$$

the last inequality coming from excluding factors corresponding to $3 \leqslant p_{i}<s$. Finally, the prime number theorem implies $(\log s)^{\pi(s)} \leqslant(\log s)^{O(s / \log s)}<_{\varepsilon}(1+\varepsilon / 2)^{s}$.

Remark. Lemma 3.2 is fairly sharp. For example, from the inequality $s=\omega(n) \leqslant(1+o(1)) \frac{\log n}{\log _{2} n}$, and the observation that $m_{1}(x)$ is monotonically increasing, we immediately obtain Wigert's upper bound for $\log d(n)$.

The following is the key lemma, which explains the constant $c$.
Lemma 3.3. Let $a_{1}, \ldots, a_{t}$ be positive integers.
(a) we have

$$
\sum_{i=1}^{t} \log \left(a_{i}+1\right) \leqslant \frac{c}{2}\left(\sum_{i=1}^{t} i a_{i}\right)^{1 / 2}
$$

Moreover, the constant $c / 2$ is best possible.
(b) If $a_{i} \geqslant A$ for all $i$, where $A$ is a positive integer, then

$$
\sum_{i=1}^{t} \log \left(a_{i}+1\right) \leqslant\left(\frac{1+\log ^{2}(A+1)}{A} \sum_{i=1}^{t} i a_{i}\right)^{1 / 2}
$$

Proof. (a) Without loss of generality, suppose $a_{1} \geqslant \cdots \geqslant a_{t}$. Let $y_{j}=\#\left\{i: a_{i} \geqslant j\right\}$. Then

$$
\sum_{i=1}^{t} i a_{i}=\sum_{j \geqslant 1} \frac{y_{j}\left(y_{j}+1\right)}{2} \geqslant \frac{1}{2} \sum_{j \geqslant 1} y_{j}^{2}
$$

By partial summation and the Cauchy-Schwarz inequality,

$$
\begin{align*}
\sum_{i=1}^{t} \log \left(a_{i}+1\right)=\sum_{j \geqslant 1}\left(y_{j}-y_{j+1}\right) \log (j+1) & =\sum_{j \geqslant 1} y_{j} \log (1+1 / j) \\
& \leqslant\left(\sum_{j \geqslant 1} y_{j}^{2}\right)^{1 / 2}\left(\frac{c^{2}}{8}\right)^{1 / 2} \tag{3.1}
\end{align*}
$$

Moreover, the inequality in (3.1) is an equality if and only if for some real $Y, y_{j}=Y \log (1+1 / j)$ for every $j$. As the $y_{j}$ are integers, this cannot happen. However, we can come very close to equality in (3.1) by taking $t$ large and choosing the $a_{i}$ by (2.2), so that $y_{j}=\left\lfloor\frac{\log (1+1 / j)}{\log 2} t\right\rfloor$. By (2.3) and (2.4), we have in this case

$$
\sum_{i=1}^{t} \log \left(a_{i}+1\right)=\frac{c^{2}}{8 \log 2} t+O(\log t), \quad \sum_{i=1}^{t} i a_{i}=\frac{c^{2}}{16(\log 2)^{2}} t^{2}+O(t \log t)
$$

whence

$$
\sum_{i=1}^{t} \log \left(a_{i}+1\right)=\frac{c}{2}\left(1+O\left(\frac{\log t}{t}\right)\right)\left(\sum_{i=1}^{t} i a_{i}\right)^{1 / 2}
$$

(b) Observe that $y_{1}=y_{2}=\cdots=y_{A}$. Arguing similarly to (3.1), we obtain

$$
\begin{aligned}
\sum_{i=1}^{t} \log \left(a_{i}+1\right) & =\frac{\log (A+1)}{A}\left(y_{1}+\cdots+y_{A}\right)+\sum_{j>A} y_{j} \log (1+1 / j) \\
& \leqslant\left(\sum_{j \geqslant 1} y_{j}^{2}\right)^{1 / 2}\left(A\left(\frac{\log (A+1)}{A}\right)^{2}+\sum_{j>A} \log ^{2}(1+1 / j)\right)^{1 / 2}
\end{aligned}
$$

Observing that $\log (1+1 / j)<1 / j$ and $\sum_{j>A} 1 / j^{2}<1 / A$, we obtain (b).
The next lemma is trivial.
Lemma 3.4. For any positive integer $m, m \geqslant \sum_{p \mid m} p$.
Proof of Theorem 1, upper bound. Let $n$ be large, let $N=d(n)$ and factor $N=N^{\prime} N^{\prime \prime}$, where

$$
N^{\prime}=u_{1}^{b_{1}} \cdots u_{w}^{b_{w}}, \quad N^{\prime \prime}=q_{1}^{a_{1}} \cdots q_{s}^{a_{s}}
$$

where $u_{1}<\cdots<u_{w}, q_{1}<\cdots<q_{s}$ are primes, $b_{i}>\left(\log _{2} n\right)^{6}$ for every $i$ and $a_{i} \leqslant\left(\log _{2} n\right)^{6}$ for every $i$.
Write $m_{N^{\prime}}=p_{1}^{\beta_{1}} \cdots p_{h}^{\beta_{h}}$. By Lemma 3.1 (ii), $m_{N^{\prime}} \leqslant m_{N} \leqslant n$, so that $h \ll \log n$. By Lemma 3.1 (iii), $\Omega\left(\beta_{i}+1\right) \ll \log _{2} h \ll \log _{3} n$ for every $i$. Since $d\left(m_{N^{\prime}}\right)=\left(\beta_{1}+1\right) \cdots\left(\beta_{h}+1\right)=N^{\prime}$, for each $j \leqslant h$ there are $\gg \frac{b_{j}}{\log _{3} n}$ values of $i$ for which $u_{j} \mid\left(\beta_{i}+1\right)$. Thus, by Lemma 3.4,

$$
\begin{aligned}
\log n \geqslant \log m_{N^{\prime}} \geqslant(\log 2) \sum_{i=1}^{h} \beta_{i} & \geqslant \frac{\log 2}{2} \sum_{i=1}^{h}\left(\beta_{i}+1\right) \\
& \geqslant \frac{\log 2}{2} \sum_{i=1}^{h} \sum_{p \mid\left(\beta_{i}+1\right)} p \gg \sum_{j=1}^{w} u_{j} \frac{b_{j}}{\log _{3} n} \geqslant \frac{1}{\log _{3} n} \sum_{j=1}^{w} j b_{j}
\end{aligned}
$$

Combining this estimate with Lemma 3.3 (b) with $A=\left(\log _{2} n\right)^{6}$ gives

$$
\begin{equation*}
\log d\left(N^{\prime}\right)=\sum_{j=1}^{w} \log \left(b_{j}+1\right) \ll \frac{\log _{3} n}{\left(\log _{2} n\right)^{3}}\left(\sum_{j=1}^{w} j b_{j}\right)^{1 / 2} \ll \frac{(\log n)^{1 / 2}\left(\log _{3} n\right)^{3 / 2}}{\left(\log _{2} n\right)^{3}} \tag{3.2}
\end{equation*}
$$

Next, we bound $d\left(N^{\prime \prime}\right)$.
Case 1) If $s \leqslant \frac{(\log n)^{1 / 2}}{\left(\log _{2} n\right)^{3}}$, Lemma 3.2 implies that $\log d\left(N^{\prime \prime}\right) \ll \frac{(\log n)^{1 / 2}}{\left(\log _{2} n\right)^{2}}$.
Case 2) Now suppose that $s>\frac{(\log n)^{1 / 2}}{\left(\log _{2} n\right)^{3}}$. Write $m_{N^{\prime \prime}}=p_{1}^{\alpha_{1}} \cdots p_{r}^{\alpha_{r}}$. By Lemma 3.1 (iii),

$$
\begin{equation*}
r \leqslant \Omega\left(N^{\prime \prime}\right)=\sum_{j=1}^{s} a_{j}=\sum_{i=1}^{r} \Omega\left(\alpha_{i}+1\right) \leqslant r+\sum_{k \geqslant 2} \pi\left(p_{r+1}^{1 / 2^{k}}\right)=r+O\left((r / \log r)^{1 / 2}\right) \tag{3.3}
\end{equation*}
$$

In particular, $r+O\left((r / \log r)^{1 / 2}\right) \geqslant a_{1}+\cdots+a_{s} \geqslant s$, so $r \gg s>\frac{(\log n)^{1 / 2}}{\left(\log _{2} n\right)^{3}}$. Thus, for large enough $n$, $a_{1}+\cdots+a_{s} \leqslant r+\sqrt{r}$. Also by Lemma 3.1 (iii), $\alpha_{j}+1$ is prime for $j>\sqrt{r}$. Let $\varepsilon=20 \frac{\log _{3} n}{\log _{2} n}$. By the lower bound on $s$, and using $a_{i} \leqslant\left(\log _{2} n\right)^{6}$,

$$
\begin{equation*}
\sum_{j>s-s^{1-\varepsilon}} a_{j} \geqslant s^{1-\varepsilon} \geqslant 2\left(s\left(\log _{2} n\right)^{6}\right)^{1 / 2} \geqslant 2\left(\Omega\left(N^{\prime \prime}\right)\right)^{1 / 2} \geqslant 2 \sqrt{r} \tag{3.4}
\end{equation*}
$$

hence, using (3.3),

$$
\sum_{j \leqslant s-s^{1-\varepsilon}} a_{j} \leqslant \Omega\left(N^{\prime \prime}\right)-2 \sqrt{r} \leqslant r-\sqrt{r}
$$

Using Lemma 3.1 (i), $\alpha_{i}+1=q_{1}$ for $r-a_{1}<i \leqslant r$, and similarly for each $j \leqslant s-s^{1-\varepsilon}, \alpha_{i}+1=q_{j}$ for $r-\left(a_{1}+\cdots+a_{j}\right)<i \leqslant r-\left(a_{1}+\cdots+a_{j-1}\right)$. We obtain

$$
\begin{aligned}
\log m_{N^{\prime \prime}} & \geqslant \sum_{\sqrt{r}<i \leqslant r} \alpha_{i} \log p_{i} \geqslant \sum_{j \leqslant s-s^{1-\varepsilon}}\left(q_{j}-1\right) \sum_{m=r-\left(a_{1}+\cdots+a_{j}\right)+1}^{r-\left(a_{1}+\cdots+a_{j-1}\right)} \log p_{m} \\
& \geqslant \sum_{j \leqslant s-s^{1-\varepsilon}}\left(p_{j}-1\right) a_{j} \log \left(r-\left(a_{1}+\cdots+a_{j}\right)\right)
\end{aligned}
$$

By (3.4), uniformly for $j \leqslant s-s^{1-\varepsilon}$ we have

$$
r-\left(a_{1}+\cdots+a_{j}\right)=r-\Omega\left(N^{\prime \prime}\right)+a_{j+1}+\cdots+a_{s} \geqslant s-j-\sqrt{r} \geqslant \frac{1}{2} s^{1-\varepsilon} .
$$

Using (2.1), $p_{j} \geqslant j \log j+1$ for large $j$. Hence, by Lemma 3.1 (ii),

$$
\begin{aligned}
\log n \geqslant \log m_{N^{\prime \prime}} & \geqslant \sum_{s^{1-\varepsilon} \leqslant j \leqslant s-s^{1-\varepsilon}}(j \log j) a_{j}\left(\log s+O\left(\log _{3} n\right)\right) \\
& \geqslant(1+O(\varepsilon)) \frac{\left(\log _{2} n\right)^{2}}{4} \sum_{s^{1-\varepsilon} \leqslant j \leqslant s-s^{1-\varepsilon}} j a_{j} .
\end{aligned}
$$

By the definition of $\varepsilon, s^{\varepsilon} \gg\left(\log _{2} n\right)^{9}$. Also, trivially $\sum_{j=1}^{s} j a_{j} \geqslant 1+2+\cdots+s \geqslant \frac{1}{2} s^{2}$. Recalling that $a_{j} \leqslant\left(\log _{2} n\right)^{6}$ for every $j$, we have

$$
\begin{aligned}
\sum_{s^{1-\varepsilon} \leqslant j \leqslant s-s^{1-\varepsilon}} j a_{j} & =\sum_{j=1}^{s} j a_{j}+O\left(s^{2-\varepsilon}\left(\log _{2} n\right)^{6}\right)=\sum_{j=1}^{s} j a_{j}+O\left(s^{2}\left(\log _{2} n\right)^{-3}\right) \\
& =\left(1+O\left(1 / \log _{2} n\right)\right) \sum_{j=1}^{s} j a_{j}
\end{aligned}
$$

Combining the last two inequalities gives

$$
\log n \geqslant\left(1+O\left(\frac{\log _{3} n}{\log _{2} n}\right)\right) \frac{\left(\log _{2} n\right)^{2}}{4} \sum_{j=1}^{s} j a_{j}
$$

Applying Lemma 3.3 (a), we conclude that

$$
\begin{equation*}
\log d\left(N^{\prime \prime}\right)=\sum_{j=1}^{s} \log \left(a_{j}+1\right) \leqslant \frac{c}{2}\left(\sum_{j=1}^{s} j a_{j}\right)^{1 / 2} \leqslant c \frac{\sqrt{\log n}}{\log _{2} n}\left(1+O\left(\frac{\log _{3} n}{\log _{2} n}\right)\right) \tag{3.5}
\end{equation*}
$$

Recall that we have a smaller upper bound for $\log d\left(N^{\prime \prime}\right)$ in case 1). Finally, using $d(d(n))=d\left(N^{\prime}\right) d\left(N^{\prime \prime}\right)$ and combining (3.2) and (3.5), we obtain the desired upper bound for $d(d(n))$.

## 4 Proof of Theorem 2

Proof of Theorem 2. For the lower bound, let $x$ be large and put $n=\prod_{i=1}^{s} p_{i}^{p_{i}-1}$, where $s$ is the largest integer such that $n \leqslant x$. Recall that $p_{j}$ is the $j$-th smallest prime. Then $d(n)=\prod_{i=1}^{s} p_{i}$, thus $\omega(d(n))=s$. By (2.1),

$$
\log n=\sum_{i=1}^{s}\left(p_{i}-1\right) \log p_{i}=\sum_{i=1}^{s} i \log ^{2} i+O\left(i \log i \log _{2} i\right)=\frac{1}{2} s^{2} \log ^{2} s+O\left(s^{2} \log s \log _{2} s\right)
$$

Solving for $s$ gives $s=\frac{\sqrt{8 \log n}}{\log _{2} n}+O\left(\frac{\sqrt{\log n} \log _{3} n}{\log _{2}^{2} n}\right)$. We now prove a lower bound on $n$. Since $p_{s+1} \sim$ $s \log s \sim \sqrt{2 \log n} \ll \sqrt{\log x}$ by (2.1), we have

$$
x \geqslant n \geqslant x p_{s+1}^{-p_{s+1}}=x \exp \left(-O\left(\sqrt{\log x} \log _{2} x\right)\right)
$$

That is, $\log n=\log x+O\left(\sqrt{\log x} \log _{2} x\right)$. Therefore, $s=\frac{\sqrt{8 \log x}}{\log _{2} x}+O\left(\frac{\sqrt{\log _{x}} \log _{3} x}{\log _{2}^{2} x}\right)$.
Now let $n$ be a large, positive integer factored as $n=n_{1} n_{2}, n_{1}=\prod_{i=1}^{r} q_{i}^{a_{i}}, n_{2}=\prod_{i=1}^{r^{\prime}}\left(q_{i}^{\prime}\right)^{a_{i}^{\prime}}$, where $q_{i}, q_{i}^{\prime}$ are primes, $q_{i}>P$ and $q_{i}^{\prime} \leqslant P$ for each $i$, where $P=\frac{\sqrt{\log n}}{\log _{2} n}$. We have

$$
\begin{equation*}
\omega(d(n)) \leqslant \omega\left(d\left(n_{1}\right)\right)+\omega\left(d\left(n_{2}\right)\right) \tag{4.1}
\end{equation*}
$$

Since $\omega\left(n_{2}\right) \leqslant \pi(P) \ll \frac{\sqrt{\log n}}{\left(\log _{2} n\right)^{2}}$, Lemma 3.2 implies $\log d\left(n_{2}\right) \ll \sqrt{\log n} / \log _{2} n$. Applying the elementary inequality $\omega(u) \ll \frac{\log u}{\log _{2} u}$ gives

$$
\begin{equation*}
\omega\left(d\left(n_{2}\right)\right) \ll \frac{\sqrt{\log n}}{\left(\log _{2} n\right)^{2}} \tag{4.2}
\end{equation*}
$$

Next,

$$
\log n_{1} \geqslant(\log P) \sum_{i=1}^{r} a_{i}=\left(\frac{\log _{2} n}{2}-\log _{3} n\right) \sum_{i=1}^{r} a_{i}
$$

Letting $s=\omega\left(d\left(n_{1}\right)\right)=\omega\left(\prod\left(a_{i}+1\right)\right)$, Lemma 3.4 implies that

$$
\sum_{i=1}^{r} a_{i} \geqslant \sum_{i=1}^{r} \sum_{p \mid\left(a_{i}+1\right)}(p-1) \geqslant \sum_{i=1}^{s}\left(p_{i}-1\right) \geqslant \sum_{i=1}^{s}(i \log i+O(1))=\frac{1}{2} s^{2} \log s+O\left(s^{2}\right)
$$

Here we used the one-sided inequality $p_{i} \geqslant i \log i+O(1)$ deduced from (2.1). Thus,

$$
\log n \geqslant \log n_{1} \geqslant\left(\frac{1}{4}+O\left(\frac{\log _{3} n}{\log _{2} n}\right)\right)\left(\log _{2} n\right) s^{2} \log s+O\left(s^{2} \log _{2} n\right)
$$

Consider two cases: (i) $s \leqslant \frac{\sqrt{\log n}}{\log _{2} n}$, (ii) $s>\frac{\sqrt{\log n}}{\log _{2} n}$. In case (ii), we have $\frac{\log n}{\log _{2}^{2} n} \geqslant\left(\frac{1}{8}+O\left(\frac{\log _{3} n}{\log _{2} n}\right)\right) s^{2}$, and we obtain in both cases

$$
\omega\left(d\left(n_{1}\right)\right)=s \leqslant \frac{\sqrt{8 \log n}}{\log _{2} n}+O\left(\frac{\sqrt{\log n} \log _{3} n}{\log _{2}^{2} n}\right)
$$

Combining this inequality with (4.1) and (4.2), we obtain the desired upper bound for $\omega(d(n))$.
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